

**Exhibit B**

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

SECURITIES INVESTOR PROTECTION  
CORPORATION,

Plaintiff-Applicant,

v.

BERNARD L. MADOFF INVESTMENT  
SECURITIES LLC,

Defendant.

No. 08-01789 (SMB)

SIPA LIQUIDATION

(Substantively Consolidated)

In re:

BERNARD L. MADOFF,

Debtor.

IRVING H. PICARD, Trustee for the Liquidation  
of Bernard L. Madoff Investment Securities LLC,

Plaintiff,

v.

DEFENDANTS IN ADVERSARY  
PROCEEDINGS LISTED ON EXHIBIT A,

Defendants.

Adv. Pro. Nos. listed on Exhibit A

**[PROPOSED] ORDER ESTABLISHING OMNIBUS PONZI PRE-TRIAL  
PROCEEDING ON THE EXISTENCE, DURATION, AND SCOPE  
OF THE PONZI SCHEME AT BLMIS**

Whereas on February 23, 2018, Irving H. Picard, trustee (“Trustee”) for the substantively consolidated liquidation of the business of Bernard L. Madoff Investment Securities LLC (“BLMIS”) and the chapter 7 estate of Bernard L. Madoff (“Madoff”) (collectively, “Debtor”), by and through his counsel, moved for entry of an order (the “Motion”) pursuant to Rule 42 of the Federal Rules of Civil Procedure, as incorporated by Rule 7042 of the Federal Rules of Bankruptcy Procedure, establishing an omnibus proceeding consolidating all Remaining Good

Faith Actions<sup>1</sup> (listed on **Exhibit A**<sup>2</sup>) in the BLMIS liquidation for the limited purpose of obtaining a ruling from the Court on the existence, duration, and scope of the Ponzi scheme at BLMIS at all relevant times (the “Omnibus Ponzi Proceeding”);

**WHEREAS**, on April 11, 2018, certain Defendants in 106 of the Remaining Good Faith Actions, as listed on **Exhibit 1**, filed six objections in opposition to the Motion (the “Objecting Defendants”);

**WHEREAS**, on June 27, 2018, the Trustee and Objecting Defendants appeared before the Court to report on the status of negotiations between the parties, and at the conference indicated a willingness to work toward a consensual revised proposed order to establish a consolidated proceeding solely for the purpose of engaging in all phases of pre-trial discovery on the Ponzi Issue;

**WHEREAS**, based on general guidance from the Court at the June 27, 2018 status conference and continued negotiations between the Trustee and the Objecting Defendants, a consolidated proceeding as to discovery for those Defendants who choose to contest the existence, duration, and/or scope of the Ponzi scheme at BLMIS is warranted and necessary for considerations of judicial economy and efficiency (the “Omnibus Ponzi Pre-Trial Proceeding”);

**WHEREAS**, the Omnibus Ponzi Pre-Trial Proceeding shall include consolidated fact discovery, expert discovery, and any discovery-related motion practice, as set forth in more detail below;

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<sup>1</sup> Capitalized terms used but not defined herein shall have the meaning ascribed to them in the Motion.

<sup>2</sup> Since the filing of the Motion, the Trustee has dismissed three of the adversary proceedings listed on Exhibit A as follows: (i) 10-04765 - Donald Snyder; (ii) 10-05371 - L.H. Rich Companies, et al.; and (iii) 10-04450 - Jeffrey Hinte.

**WHEREAS**, upon completion of the Omnibus Ponzi Pre-Trial Proceeding, the parties shall thereafter address the proper scope of, and methods for, conducting dispositive motion practice and, if necessary, trial(s) as to the Ponzi Issue, as set forth in more detail below;

**NOW, THEREFORE**, the Court, having considered the Motion and objections raised thereto, and this revised Proposed Order; and having held certain hearings on the Motion and this revised Proposed Order; and after due consideration:

It is hereby **ORDERED** that the Trustee's request for an order establishing the Omnibus Ponzi Pre-Trial Proceeding is granted to the extent set forth below; and

It is further **ORDERED** that the following general procedures shall govern the Omnibus Ponzi Pre-Trial Proceeding:

**I. Participating and Non-Participating Defendants**

1. Upon the Court's entry of this Order, the Trustee shall serve counsel of record for each of the remaining Defendants listed on **Exhibit A** a copy of this Order establishing the omnibus pre-trial procedures and deadline to participate.
2. Defendants who choose to participate in the Omnibus Ponzi Pre-Trial Proceeding (the "Participating Defendants") shall serve upon the Trustee a written Notice of Participation in the Omnibus Ponzi Pre-Trial Proceeding (the "Notice of Participation") in substantially the form annexed hereto as **Exhibit 2** so as to be received within 15 days of the entry of this Order at the following address and/or by e-mail: Baker & Hostetler LLP, Counsel for the Trustee, 45 Rockefeller Plaza, New York, New York 10111, Attn: David J. Sheehan (dsheehan@bakerlaw.com) and Stacey A. Bell (sbell@bakerlaw.com).
3. The Notice of Participation must include the name(s) of the Defendant(s) and the adversary proceeding number(s) at issue. If a single law firm represents Defendants in more than one Remaining Good Faith Action, all adversary proceedings must be referenced in a single Notice of Participation.
4. Within 7 days after the deadline to serve the Notice of Participation, the Trustee must file a written notice with the Court identifying the Participating Defendants, their counsel of record's name and e-mail address for notice purposes, and the relevant adversary proceedings (the "Notice to the Court").
5. Any remaining Defendant listed on **Exhibit A** from whom the Trustee does not receive a Notice of Participation within 15 days of the entry of this Order ("Non-

Participating Defendants”) will be barred from seeking any discovery on any aspect of the Ponzi Issue in any adversary proceeding.

6. Any Participating Defendant may at any time, by filing a written notice with the Court, withdraw from the Omnibus Ponzi Pre-Trial Proceeding, after which such Participating Defendant shall become a Non-Participating Defendant, subject to the limitations set forth in § I.5.

## **II. Participation of Counsel**

1. Counsel for each of the Participating Defendants shall have the right to participate in each aspect of the Omnibus Ponzi Pre-Trial Proceeding, including, without limitation, document discovery, depositions, expert-related discovery, and discovery-related briefing or motion practice; provided however that each Participating Defendant shall avoid duplicative discovery requests and depositions vis-à-vis the efforts of any other Participating Defendant.
2. Participating Defendants shall use their best efforts to coordinate amongst themselves such that issues or matters relevant across multiple cases are addressed in a single consolidated submission or line of questioning.
3. Participating Defendants shall choose one lead firm to act as a communications checkpoint with the Trustee as to any administrative issues, and to serve and distribute any discovery materials, including but not limited to, discovery requests and responses, document productions, discovery-related motions, and expert reports (affirmative and rebuttal).

## **III. The Discovery Process**

### **A. Discovery Plan**

1. Within 30 days of the Notice to the Court, the Trustee and the Participating Defendants shall enter into a Discovery Plan that the Trustee shall file with the Court. The Discovery Plan shall be consistent in all respects with this Order, and shall address fact discovery (including, but not limited to, production of documents and depositions of fact witnesses), expert disclosures (including, but not limited to, service of expert reports, production of documents considered by the experts, and depositions of expert witnesses), and discovery-related motion practice.
2. As part of the Discovery Plan, the Trustee and the Participating Defendants will agree on a schedule for discovery materials, including, but not limited to, initial disclosures, document requests, and depositions.
3. All discovery contemplated herein and/or pursuant to the Discovery Plan shall be produced pursuant to and governed by the Federal Rules of Civil Procedure and the Litigation Protective Order entered on June 6, 2011 in the matter of *Sec. Inv’r Prot. Corp. v. Bernard L. Madoff Inv. Sec. LLC*, No. 08-01789 (SMB), ECF No.

4137, or any amendments to or subsequent orders that may be entered, any applicable orders entered by any other court of competent jurisdiction, and/or any other applicable federal or state law.

**B. Fact Discovery**

1. Fact discovery in the Omnibus Ponzi Pre-Trial Proceeding shall include, but not be limited to, document production and depositions of fact witnesses. Fact discovery shall be limited to the Ponzi Issue and shall not encompass any other issues.
2. All discovery requests and responses relating to the Ponzi Issue in any of the Remaining Good Faith Actions shall be subsumed in their entirety by the Omnibus Ponzi Pre-Trial Proceeding. For purposes of judicial efficiency and economy, all pending discovery requests, responses and/or disputes in any of the Remaining Good Faith Actions relating to the Ponzi Issue shall be supplanted in their entirety by this proceeding.
3. All fact discovery on the Ponzi Issue shall be completed within 120 days of the Trustee's filing of the Discovery Plan.

**C. Expert Discovery**

1. The Trustee and Participating Defendants shall serve any affirmative expert reports on the Ponzi Issue within 60 days of the close of fact discovery. The Trustee and Participating Defendants shall serve rebuttal expert reports within 60 days of the affirmative expert reports.
2. Consistent with the "Participation of Counsel" section above, Participating Defendants shall use their best efforts to submit consolidated expert reports as to issues or matters that are relevant across multiple cases.
3. Experts who submit affirmative and/or rebuttal expert reports on the Ponzi Issue may be deposed during the expert discovery period. Each expert shall be deposed only once to avoid unnecessary duplication and inefficiency.
4. The expert discovery period ends within 180 days of the close of fact discovery.

**D. Discovery Disputes and Related Motion Practice**

1. In the event of a discovery dispute between the parties, including any disputes on the Discovery Plan, the parties shall meet and confer in an attempt to resolve the dispute. If not resolved, the parties may engage in binding arbitration by submitting to chambers a stipulated order appointing the Honorable Frank Maas, as Discovery Arbitrator, to resolve such disputes.

**IV. Hearing Upon Completion of the Omnibus Ponzi Pre-Trial Proceeding**

1. Within 45 days following the close of expert discovery on the Ponzi Issue, the Trustee and Participating Defendants shall contact chambers to schedule a status conference before this Court to address, *inter alia*, the proper scope of, and methods for, conducting dispositive motion practice, motions *in limine*, and, if necessary, trial as to the Ponzi Issue.

This Order may be modified either through stipulation of the parties so ordered by this Court, or by motion to this Court upon a showing of good cause.

Dated: New York, New York  
\_\_\_\_\_, 2018

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HONORABLE STUART M. BERNSTEIN  
UNITED STATES BANKRUPTCY JUDGE

**Exhibit A**



**Exhibit A**  
**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
1.	10-04631	151797 Canada Inc., Judith Pencer, Samuel Pencer, et al.	151797 Canada, Inc.
			Judith Pencer
2.	10-04428	Allen Meisels	Allen Meisels
3.	10-04925	Alvin Gindel Revocable Trust, a Florida trust, et al.	Alvin Gindel Revocable Trust Agreement
			Alvin Gindel, in his capacity as trustee of the Alvin Gindel Revocable Trust and individually as settlor and beneficiary thereof
4.	10-04709	Andrew M. Goodman	Andrew Goodman
5.	10-04592	Anthony E. Stefanelli	Estate of Anthony E. Stefanelli
			Patricia Moretta, solely in her capacity as personal representative of the Estate of Anthony E. Stefanelli
6.	10-05048	Armand L. Greenhall, et al.	Deidre Sweeney, as Personal Representative of the Estate of Armand L. Greenhall
			Estate of Armand L. Greenhall
			Peng Yan, individually and as Personal Representative of the Estate of Armand L. Greenhall
7.	10-05127	Atwood Management Profit Sharing Plan & Trust, etc., et al.	Atwood Management Profit Sharing Plan & Trust f/k/a Atwood Regency Money Purchase Plan, in its own right and as successor-in-interest to the Atwood-Regency Defined Benefit Plan & Trust
			Dino Guiducci, individually, in his capacity as Co-Trustee of the Atwood Regency Profit Sharing Plan & Trust f/k/a the Atwood Regency Money Purchase Plan & Trust, and former Co-Trustee of the Atwood Regency Defined Benefit Plan & Trust, and as the personal representative of the estate of Mary Guiducci

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	Adv. Pro. No.	Case Nickname	Defendants
8.	10-05439	Avram J. Goldberg, individually and in his capacity as trust officer	AvCar Group, Ltd.
			Avram Goldberg, individually and in his capacity as trust officer for The Sidney R. Rabb Trust FBO Carol Goldberg
			Carol R. Goldberg, individually and in her capacity as trust officer for The Sidney R. Rabb Trust FBO Carol Goldberg
			M Gordon Ehrlich, in his capacity as trustee of The Sidney R. Rabb Trust FBO Carol Goldberg, and as attorney-in-fact for Carol R. Goldberg, Avram J. Goldberg, and the Carol R. Goldberg and Avram J. Goldberg Special Account
			Sidney R. Rabb Trust FBO Carol R. Goldberg
9.	10-05130	Barbara Kotlikoff Harman	Barbara Kotlikoff Harman
10.	10-05037	Barbara L. Savin	Barbara L. Savin
11.	10-04332	Barry Weisfeld	Barry Weisfeld
12.	10-05294	Bell Ventures Limited	Nantucket Ventures Limited
13.	10-04367	Benjamin T. Heller	Benjamin T. Heller
14.	10-05168	Bernard Marden Profit Sharing Plan, et al.	Bernard Marden Profit Sharing Plan
			James P. Marden, as trustee of the Bernard Marden Profit Sharing Plan
			Patrice M. Auld, as trustee of the Bernard Marden Profit Sharing Plan

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**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
15.	10-05133	Boyer H. Palmer, individually, et al.	Bruce N. Palmer
			Bruce Palmer, in his capacity as Personal Representative of the Estate of Boyer Palmer
			Diane Holmers, in her capacity as Personal Representative of the Estate of Boyer Palmer
			Estate of Boyer Palmer, in its capacity as the estate of Boyer Palmer individually and as the former general partner of B&F Palmer, L.P.
			Fern C. Palmer
			Kurt B. Palmer
16.	10-04826	Boyer Palmer	Bruce Palmer, in his capacity as Personal Representative of the Estate of Boyer Palmer
			Diane Holmers, in her capacity as Personal Representative of the Estate of Boyer Palmer
			Estate of Boyer Palmer
17.	10-05194	Bruce D. Pergament, et al.	Bruce D. Pergament Individually, and in his Capacity as Trustee of the Murray Pergament 1999 Trust FBO Bruce Pergament
			Eric B. Woldenberg, in his Capacity as Trustee of the Murray Pergament 1999 Trust FBO Bruce Pergament and in his Capacity as Trustee of the Linda Horowitz Spousal Trust FBO Richard Horowitz, f/k/a Murray Pergament 1999 Trust FBO Linda Horowitz
			Linda Horowitz Spousal Trust FBO Richard Horowitz, f/k/a Murray Pergament 1999 Trust fbo Linda Horowitz
			Murray Pergament 1999 Trust FBO Bruce Pergament
			Richard Horowitz
18.	10-04573	Bruce Leventhal 2001 Irrevocable Trust, et al.	Bruce Leventhal
			Bruce Leventhal 2001 Irrevocable Trust

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	Adv. Pro. No.	Case Nickname	Defendants
19.	10-04931	Cantor, et al.	Estate of Muriel B. Cantor
			Nancy Atlas, in her capacity as the Personal Representative of the Estate of Muriel B. Cantor
20.	10-04753	Carla Ginsburg	Carla Ginsburg
21.	10-04469	Carol L. Kamenstein, individually and in her capacity as joint tenant	Carol L. Kamenstein, individually and in her capacity as joint tenant
			David R. Kamenstein, individually and in his capacity as joint tenant
			Sloan G. Kamenstein
			Tracy D. Kamenstein
22.	10-04658	Carol Nelson	Carol Nelson
23.	10-04377	Carol Nelson, individually and as joint tenant, et al.	Carol Nelson, individually and as joint tenant
			Stanley Nelson, individually and as joint tenant

**Exhibit A**  
**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
24.	10-05118	Charlotte M. Marden	Alexandria K. Marden
			Charlotte M. Marden
			Elizabeth C. Auld
			Gabrielle Z. Marden
			James P. Marden
			Meghan M. Auld
			Neal J. Nissel, as trustee
			Olivia G. Auld
			Patrice M. Auld
			The Charlotte M. Marden Irrevocable Insurance Trust
25.	10-04956	D. M. Castelli	Denis M. Castelli
26.	10-05068	D. Stone Industries, Inc. Profit Sharing Plan, et al.	D. Stone Industries, Inc. Profit Sharing Plan
			Daniel Stone, individually and in his capacity as trustee of D. Stone Industries, Inc. Profit Sharing Plan
			Susan Stone, individually and in her capacity as trustee of D. Stone Industries, Inc. Profit Sharing Plan
27.	10-05084	Daniel Stone	Daniel Stone
28.	10-04667 <sup>1</sup>	David Gross, et al.	David Gross, Individually and as Joint Tenant
			Irma Gross, Individually and as Joint Tenant

<sup>1</sup> At least one Defendant in this adversary proceeding is Pro Se.

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**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
29.	10-04554	David Ivan Lustig	David Ivan Lustig
30.	10-04328	David Shapiro Nominee	David Shapiro Nominee
			David Shapiro, individually and as president and nominee of David Shapiro Nominee
31.	10-04325	David Shapiro Nominee #2	David Shapiro Nominee 2
			David Shapiro, individually and as president and nominee of David Shapiro Nominee 2
32.	10-04314	David Shapiro Nominee #3	David Shapiro Nominee 3
			David Shapiro, individually and as president and nominee of David Shapiro Nominee 3
33.	10-04305	David Shapiro, et al.	David Shapiro Nominee 4
			David Shapiro, Individually and as President and Nominee of David Shapiro Nominee 4
34.	10-04621	Donald A. Benjamin	Donald A. Benjamin
35.	10-04765 <sup>2</sup>	Donald Snyder	Donald Snyder
36.	10-05312	Doron Tavlin Trust U/A 2/4/91, et al.	Doron Tavlin Trust U/A 2/4/91
			Doron Tavlin, as Trustee and Beneficiary of the Doron Tavlin Trust U/A 2/4/91
37.	10-04378	DOS BFS Family Partnership II, L.P., et al.	Barbara Stein Jaffe
			Donald and Bette Stein Family Trust
			Donald O. Stein

<sup>2</sup> On March 19, 2018, the Trustee filed the Notice of Voluntary Dismissal of Adversary Proceeding With Prejudice, resulting in the closure of that adversary proceeding. Adv. Pro. No. 10-04765 (SMB) (Bankr. March 19, 2018), ECF No. 23.

**Exhibit A**  
**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
			DOS BFS Family Partnership II, L.P.
			Jamie Stein Walker
			William Stein
38.	10-04396	Edith A. Schur	Edith A. Schur
39.	10-05257	Edward A. Zraick, Jr., individually and as joint tenant, et al.	Edward A. Zraick, Jr., individually, as joint tenant and as personal representative of the Estate of Lorraine Zraick
			Estate of Lorraine Zraick
			Karen M. Rich, individually and as joint tenant
			Nancy Zraick, individually and as joint tenant
			Patricia Zraick DeLuca, individually, as joint tenant and as personal representative of the Estate of Lorraine Zraick
40.	10-04914	Edyne Gordon	Edyne Gordon, in her capacity as the executrix and primary beneficiary of the estate of Allen Gordon
41.	10-04809	Edyne Gordon NTC	Edyne Gordon, in her capacity as the executrix and primary beneficiary of the estate of Allen Gordon
42.	10-04491	Elaine Dine Living Trust dated 5/12/06, et al.	Elaine Dine
			Elaine Dine Living Trust Dated 5/12/06
43.	10-05144	Estate of Bernard J. Kessel, et al.	Bernard Kessel Inc. Pension Plan and Trust
			Estate of Bernard J. Kessel
			Iris Steel, in her capacity as Trustee of the Bernard Kessel Inc. Pension Plan and Trust and as Executrix of the Bernard J. Kessel Estate

**Exhibit A**  
**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
44.	10-04728	Estate of Bruno L. Di Giulian, et al.	Estate of Bruno L. Di Giulian
			Patsy Di Giulian in her capacity as personal representative of the Estate of Bruno L. Di Giulian
45.	10-04978	Estate of Ira S. Rosenberg, et al.	Delia Gail Rosenberg, Individually and in her Fiduciary Capacity
			Estate of Ira S. Rosenberg
46.	10-05079	Estate of James M. Goodman, et al.	Audrey M Goodman, individually, and in her capacity as Personal Representative of the Estate of James M. Goodman
			The Estate of James M. Goodman
47.	10-04889	Estate of Robert Shervyn Savin, et al.	Barbara L. Savin, in her capacity as Personal Representative of the Estate of Robert Shervyn Savin
			The Estate of Robert Shervyn Savin
48.	10-04438	Estate of Seymour Epstein, et al.	Estate of Seymour Epstein
			Herbert C. Kantor, as trustee of Trusts created by the Last Will and Testament of Seymour Epstein
			Jane Epstein, as beneficiary of the Estate of Seymour Epstein and/or the Trusts created by the Last Will and Testament of Seymour Epstein
			Muriel Epstein, as beneficiary of the Estate of Seymour Epstein and/or Trusts created by Last Will and Testament of Seymour Epstein, as executor of the Estate of Seymour Epstein, and as trustee of the Trusts created by Last Will and Testament of Seymour Epstein
			Randy Epstein Austin, as beneficiary of the Estate of Seymour Epstein and/or the Trusts created by the last Will and Testament of Seymour Epstein
			Robert Epstein, as beneficiary of the Estate of Seymour Epstein and/or Trusts created by the Last Will and Testament of Seymour Epstein
			Shelburne Shirt Company, Inc.
			Susan Epstein Gross, as beneficiary of the Estate of Seymour Epstein and/or the Trusts created by the Last Will and Testament of Seymour Epstein



**Exhibit A**  
**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
49.	10-04867	Estate of Steven I. Harnick, et al.	Barbara June Lang, as personal representative, as trustee, as joint tenant, and as an individual
			Estate of Steven I. Harnick, et al.
50.	10-05169	Fairfield Pagma Associates, et al.	Bonnie Joyce Kansler, as executor
			Estate of Marjorie Kleinman a/k/a Marjorie Helene Kleinman
			Fairfield Pagma Associates LP
			Fairfox LLC
			Seyfair LLC
			Seymour Kleinman
51.	10-04397	Fern C. Palmer Revocable Trust Dtd 12/31/9, et al.	Bruce Palmer, in his capacity as Personal Representative of the Estate of Boyer Palmer
			Diane Holmers, in her capacity as Personal Representative of the Estate of Boyer Palmer
			Estate of Boyer Palmer
			Fern C. Palmer Revocable Trust DTD 12/31/91, as amended
			Fern C. Palmer, individually and in her capacity as trustee for the Fern C. Palmer Revocable Trust
52.	10-04823	Frank DiFazio, et al.	Carol DiFazio individually and as Tenants in Common of a Bernard L. Madoff Investment Securities, LLC account
			Frank DiFazio individually and as Tenants in Common of a Bernard L. Madoff Investment Securities, LLC account

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**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
53.	10-04394 <sup>3</sup>	Frederic Z. Konigsberg, et al.	APO Health Inc. PSP f/b/o H. Peter Steil
			APO Health, Inc. PSP f/b/o Jan Stahl
			Bradermak Equities Corp.
			Bradermak, Ltd.
			Buyer's Alternatives, Inc. PSP f/b/o Jan Stahl
			Frederic Z. Konigsberg
			Geri Stahl
			H. Peter Steil
			Jan Stahl
			Lee Rautenberg
			PJS Trading, Inc. PSP f/b/o Jan Stahl
			Susan M. Konigsberg
54.	10-04429	Gary J. Korn, et al.	Joan L. Korn
			Robert Korn Revocable Trust
			Robert S. Korn
			The Korn Family Limited Partnership
55.	10-04582	Gerald Blumenthal	Gerald Blumenthal

<sup>3</sup> At least one Defendant in this adversary proceeding is Pro Se.

**Exhibit A**  
**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
56.	10-04327	Gertrude E. Alpern Revocable Trust, et al.	Gertrude E. Alpern Revocable Trust
			Jonathan Schwartz, as beneficiary of the Gertrude E. Alpern Revocable Trust
			Paul Alpern Residuary Trust
			Roberta Schwartz Trust
			Roberta Schwartz, as beneficiary of the Gertrude E. Alpern Revocable Trust, as settlor and beneficiary of the Roberta Schwartz Trust, and in her capacity as trustee of the Roberta SchwartzTrust
57.	10-04920	Glenhaven Limited, et al.	Edythe Gladstein, in her capacity as the personal representative of the Estate of Matthew L. Gladstein
			Estate of Matthew L. Gladstein
			Glenhaven Limited
58.	10-04991	Guiducci Family Limited Partnership, et al.	Dino Guiducci, individually, in his capacity as a General Partner of the Guiducci Family Limited Partnership, and as the personal representative of the estate of Mary Guiducci
			Guiducci Family Limited Partnership
			Sandra Guiducci, individually and in her capacity as a General Partner of the Guiducci Family Limited Partnership
59.	10-05420	Gunther K. Unflat, et al.	Gunther K. Unflat, individually and as joint tenant
			Margaret Unflat, individually and as joint tenant
60.	10-04861	Harold J. Hein	Harold J. Hein Revocable Trust
			Richard Hein, in his capacity as successor trustee of the Harold J. Hein Revocable Trust
			Robert Hein, in his capacity as successor trustee of the Harold J. Hein Revocable Trust

**Exhibit A**  
**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
61.	10-04912	Harry Smith Revocable Living Trust, et al.	Harry Smith Revocable Living Trust
			Laura Ann Smith, as Trustee of the Harry Smith Revocable Living Trust and individually
62.	10-04361	Harvey L. Werner Revocable Trust, et al.	Harvey L. Werner Revocable Trust u/a/d 8/31/82 as Amended
			Jeffrey R. Werner, in his capacity as Co-Trustee for the Harvey L. Werner Revocable Trust u/a/d 8/31/82, as amended
			Violet M. Werner, individually and in her capacity as Co-Trustee of the Harvey L. Werner Revocable Trust u/a/d 8/31/82, as amended
			Wendy Werner Brown, in her capacity as Co-Trustee for The Harvey L. Werner Revocable Trust u/a/d 8/31/82, as amended
63.	10-04898	Helene Saren-Lawrence	Helene Saren-Lawrence
64.	10-04321	Herbert Barbanel, et al.	Estate of Alice Barbanel
			Estate of Herbert Barbanel
			Lewis Barbanel, as Personal Representative of the Estate of Alice Barbanel and the Estate of Herbert Barbanel
65.	10-04450 <sup>4</sup>	Hinte	Jeffrey Hinte

<sup>4</sup> On June 28, 2018, the Court entered the Stipulation and Order for Voluntary Dismissal of Adversary Proceeding Without Prejudice, resulting in the closure of that adversary proceeding. Adv. Pro. No. 10-04450 (SMB) (Bankr. June 28, 2018), ECF No. 128.

**Exhibit A**  
**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
66.	10-05128	JABA Associates LP, et al.	Andrew Goodman, in his capacity as a general partner of JABA Associates LP
			Audrey Goodman, in her capacity as a general and limited partner of JABA Associates LP and as Personal Representative of the Estate of James Goodman
			Bruce Goodman, in his capacity as a general Partner of JABA Associates LP
			Estate of James Goodman
			Goodman Charitable Foundation, in its capacity as a limited partner of JABA Associates LP
			JABA Associates LP
67.	10-04570 <sup>5</sup>	Jacob M. Dick Rev Living Trust DTD 4/6/01, et al.	Andrea J. Marks, as trustee and beneficiary of the Jacob M. Dick Rev Living Trust Dtd 4/6/01, as executor and beneficiary of the Estate of Jacob M. Dick, and as trustee of the Article 8.1 Trust created under the Jacob M. Dick Rev Living Trust Dtd 4/6/01
			Article 8.1 Trust
			Douglas J. Sturlingh, as beneficiary of the Estate of Jacob M. Dick and the Jacob M. Dick Rev Living Trust Dtd 4/6/01
			Estate of Jacob M. Dick, as grantor of the Jacob M. Dick Rev Living Trust Dtd 4/6/01
			Jacob M. Dick Rev Living Trust dtd 4/6/01
			R.D.A., a minor, as beneficiary of the Article 8.1 Trust created under the Jacob M. Dick Rev Living Trust Dtd 4/6/01
			Rio Jocelyn Breen, as beneficiary of the Article 8.1 Trust created under the Jacob M. Dick Rev Living Trust Dtd 4/6/01
			Suzanne Breen, as beneficiary of the Estate of Jacob M. Dick and the Jacob M. Dick Rev Living Trust Dtd 4/6/01

<sup>5</sup> At least one Defendant in this adversary proceeding is Pro Se.

**Exhibit A**  
**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
68.	10-04655	Jaffe Family Investment Partnership, et al.	Bruce Jaffe
			Jaffe Family Investment Partnership
69.	10-04538	James B. Pinto Revocable Trust U/A dtd 12/1/03, et al.	James B. Pinto Revocable Trust U/A DTD 12/1/03
			James B. Pinto, individually and in his capacity as Grantor and Trustee for the James B. Pinto Revocable Trust
70.	10-04357	James Greiff	James Greiff
71.	10-04762	James M. Goodman	Audrey Goodman, in her capacity as Personal Representative of the Estate of James M. Goodman
			Estate of James M Goodman
72.	10-04979	James M. New Trust dtd 3/19/01, et al.	James M. New Trust Dated 3/19/01
			James M. New, individually and in his capacity as Trustee for the James M. New Trust dtd 3/19/01
			Laura W. New, in her capacity as Trustee for the James M. New Trust dtd 3/19/01
73.	10-04798	Janet Jaffe, et al.	Alvin Jaffe Trust dtd 4/20/90
			Janet Jaffe Trust UA Dated 4/20/90
			Janet Jaffe, individually and in her capacity as Trustee for the Janet Jaffe Trust UA dtd 4/20/90 and the Alvin Jaffe Trust dtd 4/20/90
74.	10-04561	Jeffrey R. Werner 11/1/98 Trust, et al.	Jeffrey R. Werner
			Jeffrey R. Werner 11/1/98 Trust
			Violet M. Werner, in her capacity as Trustee for the Jeffrey R. Werner 11/1/98 Trust

**Exhibit A**  
**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
75.	10-04296 <sup>6</sup>	Jeffrey Shankman	Jeffrey Shankman
76.	10-04545	Jerome Goodman, Individually, as trustee for The Jerome Goodman Child	Abbey Goodman, as Beneficiary of The Jerome Goodman Children's GRAT #1 and as Limited Partner of Goodman Capital Partners L.P.
			Goodman Capital Partners, L.P.
			Goodman Holdings, Inc., as General Partner of Goodman Capital Partners L.P.
			Jerome Goodman, Individually, as trustee for The Jerome Goodman Children's GRAT #1, as Limited Partner of Goodman Capital Partners L.P., and as Beneficiary of The Jerome Goodman Children's GRAT #1
			Kevin Goodman, as Beneficiary of The Jerome Goodman Children's GRAT #1 and as Limited Partner of Goodman Capital Partners L.P.
			Peter Goodman, as Beneficiary of The Jerome Goodman Children's GRAT #1 and as Limited Partner of Goodman Capital Partners L.P.
			Philip Goodman, as Limited Partner of Goodman Capital Partners L.P.
			The Jerome Goodman Children's GRAT #1
77.	10-05285	Joan L. Fisher, both in her indvdl cap, etc., et al.	Joan L. Fisher
			Joan L. Fisher Partners
			Peter B. Madoff, in his Capacity as Trustee of the Trust U/A VI of the Will of Gladys C. Luria f/b/o Joan L. Fisher and Trustee of the Trust U/A VII of the Will of Gladys C. Luria f/b/o Joan L. Fisher
			The Trust U/A VI of the Will of Gladys C. Luria f/b/o Joan L. Fisher
			The Trust U/A VII of the Will of Gladys C. Luria f/b/o Joan L. Fisher
78.	10-04302	Joan Roman	Joan Roman

<sup>6</sup> Pro Se Defendant.

**Exhibit A**  
**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
79.	10-04289	John Fujiwara, et al.	Estate of Gladys Fujiwara
			John Fujiwara, in his individual capacity and as Personal Representative of the Estate of Gladys Fujiwara
80.	10-04503	Judd Robbins	Judd Robbins
81.	10-04419	Katz Group Limited Partnership, a Wyoming limited partnership, et al.	Katz Group Limited Partnership, a Wyoming Limited Partnership
			KFI Inc., a Wyoming Corporation
82.	10-05435	Keith Schaffer, et al.	Donna Schaffer
			Jeffrey Schaffer
			Keith Schaffer
83.	10-04806	Kenneth M. Kohl, as an individual and as a joint tenant, et al.	Kenneth M. Kohl, as an individual and as a joint tenant
			Myrna M. Kohl, as an individual and as a joint tenant
84.	10-04541	Kenneth W Perlman, et al.	Felice J. Perlman
			Kenneth W. Perlman
			Sanford S. Perlman
85.	10-04468	Ken-Wen Family Limited Partnership, et al.	Ken-Wen Family Limited Partnership
			Kenneth W. Brown, in his capacity as a General Partner of the Ken Wen Family Limited Partnership
			Wendy Brown, in her capacity as a General Partner of the Ken-Wen Family Limited Partnership



**Exhibit A**  
**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
86.	10-04752	Kuntzman Family LLC, et al.	Irrevocable Trust FBO Ethan Siegel, in its capacity as a member of the Kuntzman Family L.L.C.
			Irrevocable Trust FBO Jennifer Gattegno, in its capacity as a member of the Kuntzman Family L.L.C.
			Jacqueline D. Green, in her capacity as a managing member of the Kuntzman Family L.L.C. and as Trustee of the irrevocable Trust FBO Jennifer Gattegno and the Irrevocable Trust FBO Ethan Siegel, both members of the Kuntzman Family L.L.C.
			Judith Gattegno, in her capacity as a member of the Kuntzman Family L.L.C.
			Kuntzman Family LLC
			Wayne D. Green, in his capacity as a managing member of the Kuntzman Family L.L.C
87.	10-05371	L.H. Rich Companies, et al. <sup>7</sup>	L. H. Rich Companies
			M. R. Investment Associates
			N. R. Investment Associates
88.	10-04384	Lanx BM Investments, LLC, et al.	Edara Partnership
			Lanx BM Investments, LLC
			Sarah Trust
			The Lanx Fund II, LP
			Wolfson Cousins, LP
89.	10-05209	Lapin Children LLC	Lapin Children LLC

<sup>7</sup> On May 29, 2018, the Trustee filed the Notice of Voluntary Dismissal of Adversary Proceeding, resulting in the closure of that adversary proceeding. Adv. Pro. No. 10-05371 (SMB) (Bankr. May 29, 2018), ECF No. 37.

**Exhibit A**  
**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
90.	10-05184	Laura Ann Smith Revocable Living Trust, et al.	Laura Ann Smith in her capacity as Settlor and Trustee for the Laura Ann Smith Revocable Living Trust
			Laura Ann Smith Revocable Living Trust
91.	10-04882	Laura E. Guggenheimer Cole	Laura E. Guggenheimer Cole
92.	10-05286	Legacy Capital Ltd., et al.	Legacy Capital Ltd.
93.	10-05116	Leonard J. Oguss Trust, et al.	Gerald M. Oguss, as Trustee and Individually
			Jane L. Oguss, as Trustee and Individually
			Leonard J. Oguss Trust
			Ronald A. Oguss, as Trustee and Individually
94.	10-04837	Leslie Ehrlich f/k/a Leslie Harwood, et al.	Leslie Ehrlich f/k/a Leslie Harwood, individually and in her capacity as joint tenant with rights to survivorship
			Stephen Ehrlich, individually and in his capacity as joint tenant with rights to survivorship
95.	10-04878	Lisa Beth Nissenbaum Trust, et al.	Lisa Beth Nissenbaum
			Lisa Beth Nissenbaum Trust
			Neal Kurn, as Trustee for the Lisa Nissenbaum Trust

**Exhibit A**  
**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
96.	10-04348	Marden Family Limited Partnership, et al.	B&C Marden LLC, a Delaware limited liability company
			Bernard A. Marden Revocable Trust, a Florida Trust
			Charlotte Marden (aka Chris Marden), as trustee and as an individual
			Estate of Bernard A. Marden
			James P. Marden, as trustee, as personal representative, and as an individual
			Marden Family Limited Partnership, a Delaware limited partnership
			Patrice M. Auld, as trustee, as personal representative, and as an individual
97.	10-04341	Marden, et al.	Iris Zurawin Marden
			James P. Marden
			Patrice M. Auld
98.	10-04748	Mark Horowitz	Mark Horowitz
99.	10-04489	Marlene Krauss	Marlene Krauss
100.	10-04390	Michael Mann, et al.	BAM L.P.
			Meryl Mann
			Michael Mann
101.	10-05384	Neil Reger Profit Sharing Keogh, et al.	Neil Reger Profit Sharing Keogh
			Neil Reger, individually and in his capacity as sole trustee of the Neil Reger Profit Sharing Keogh

**Exhibit A**  
**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
102.	10-05151	Palmer Family Trust, et al.	Blake Palmer
			Bret Palmer
			Bruce Palmer, in his capacity as Personal Representative of the Estate of Boyer Palmer
			Diane Holmers, in her capacity as Personal Representative of the Estate of Boyer Palmer
			Estate of Boyer Palmer
			Great Western Bank - Trust Department, in its capacity as Trustee of the Palmer Family Trust
			Palmer Family Trust
103.	10-04343	Patrice Auld, et al.	James P. Marden
			Merritt Kevin Auld
			Patrice M. Auld
104.	10-04648	Peter D. Kamenstein	Peter D. Kamenstein
105.	10-04749	Philip F. Palmedo	Philip F. Palmedo

**Exhibit A**  
**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
106.	10-04768	Placon2, William R. Cohen, et al.	Betty Cohen, in her capacity as a Partner of Placon2 and in her capacity as Executor of the Estate of William R. Cohen
			Estate of Nathan Plafsky
			Estate of William R. Cohen
			Placon2
			Robert Plafsky, in his capacity as the personal representative of the Estate of Bernice Plafsky and as Personal Representative of the Estate of Nathan Plafsky
			Ronald Cohen, in his capacity as a Partner of Placon2
			The Estate of Bernice Plafsky
107.	10-05150	Plafsky Family LLC Retirement Plan, Robert Plafsky, et al.	Estate of Edna Kaminski
			Estate of Nathan Plafsky
			Kathryn L. Hvasta, in her capacity as the personal representative of the Estate of Edna Kaminski
			Plafsky Family LLC Retirement Plan
			Robert Plafsky, in his capacity as the personal representative of the Estate of Nathan Plafsky
			Robert Plafsky, in his capacity as Trustee for the Plafsky Family LLC Retirement Plan
108.	10-04517	Radcliff Investments Limited, et al.	Radcliff Investments Limited
			Rothschild Trust Guernsey Limited

**Exhibit A**  
**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
109.	10-04352 <sup>8</sup>	RAR Entrepreneurial Fund LTD, et al.	RAR Entrepreneurial Fund, LTD.
			Tamiami Tower Corporation
110.	10-05377	Richard G. Eaton	Richard G. Eaton
111.	10-05394	Richard M Glantz, et al.	Austin Bosarge
			EJS Associates, L.P.
			Elaine Ostrin
			The Estate of Edward R. Glantz
			The Estate of Thelma Glantz
			The Glantz Family Foundation, Inc.
			Grace & Company
			Jelris & Associates, L.P.
			Lakeview Investment, LP
			Law & Mediation Offices of Richard M. Glantz, A Professional Corporation
			Richard M. Glantz, individually, as trustee of the Glantz-Ostrin Trust II, as personal representative of the Estate of Edward R. Glantz, and as administrator of the Estate of Thelma Glantz
			The Glantz-Ostrin Trust II
			Vista Management Co.
112.	10-04562	Robert F. Ferber	Robert F. Ferber

<sup>8</sup> At least one Defendant in this adversary proceeding is Pro Se.

**Exhibit A**  
**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
113.	10-04740	Robert Hirsch, as an individual, and as joint tenant, et al.	Lee Hirsch, as joint tenant, and as an individual
			Robert Hirsch, as joint tenant, and as an individual
114.	10-04292	Robert Roman	Robert Roman
115.	10-04614	Robert S. Whitman	Robert S. Whitman
116.	10-04487	Robert Weintraub, et al.	Estate of Audrey Weintraub
			Robert Weintraub, individually and in his capacity as personal representative of the Estate of Audrey Weintraub
117.	10-04401	Rose Gindel Trust, et al.	Alvin Gindel, in his capacity as Trustee of the Rose Gindel Trust
			Brent Gindel, in his capacity as Trustee of the Rose Gindel Trust
			Michael Gindel, Individually and in his capacity as trustee of the Rose Gindel Trust
			Rose Gindel Trust
			Rose Gindel, Individually and in her capacity as trustee of the Rose Gindel Trust
118.	10-04644	Russell L. Dusek	Russell L. Dusek
119.	10-04954	Ruth Kahn	Ruth Kahn

**Exhibit A**  
**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
120.	10-04702	S&L Partnership, a New York partnership, et al.	Carla Goldworm
			Luke Goldworm as trustee and as an individual
			S&L Partnership, a New York partnership
			Samuel Goldworm as trustee and as an individual
			Trust for the Benefit of Luke Goldworm
			Trust For The Benefit of Samuel Goldworm
121.	10-04362	Sage Associates, et al.	Ann M. Sage Passer, in her Capacity as Partner or Joint Venturer of Sage Associates and individually as Beneficiary of Sage Associates
			Malcolm H. Sage, in his Capacity as Partner or Joint Venturer of Sage Associates and individually as Beneficiary of Sage Associates, and as the Personal Representative of the Estate of Lillian M. Sage
			Martin A. Sage, in his Capacity as Partner or Joint Venturer of Sage Associates and individually as Beneficiary of Sage Associates
			Sage Associates
122.	10-04400	Sage Realty, et al.	Ann M. Sage Passer, in her capacity as partner or joint venturer of Sage Realty and individually as beneficiary of Sage Realty
			Malcolm H. Sage, in his capacity as partner or joint venturer of Sage Realty and individually as Beneficiary of Sage Realty, and as the Personal Representative of the Estate of Lillian M. Sage
			Martin A. Sage, in his capacity as partner or joint venturer of Sage Realty and individually as beneficiary of Sage Realty
			Sage Realty



**Exhibit A**  
**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
123.	10-04750	Samdia Family L.P., a Delaware Limited Partnership, et al.	Diana L. Messing
			Samdia Family L.P., a Delaware Limited Partnership
			Samuel L. Messing
124.	10-04986 <sup>9</sup>	Sharon Knee	Sharon Knee
125.	10-04672	Sidney Cole	Sidney Cole
126.	10-05380	Srione, LLC, an Idaho limited liability company, et al.	Dancing \$ LLC, a Montana limited liability company
			Eric Waldman
			Srione, LLC, an Idaho limited liability company
127.	10-05259 <sup>10</sup>	Stanley I. Lehrer, et al.	Elaine Stein Roberts
			Stanley I. Lehrer, in his capacity as administrator of the Stanley I. Lehrer and Stuart M. Stein J/T WROS

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<sup>9</sup> Pro Se Defendant.

<sup>10</sup> At least one Defendant in this adversary proceeding is Pro Se.

**Exhibit A**  
**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
128.	10-04921	Stanley T. Miller	Stanley T. Miller
129.	10-05255	Stefanelli Investors Group, et al.	Anthony E. Stefanelli, Jr., as general partner of Stefanelli Investors Group and individually
			Anton Leroy, as general partner of Stefanelli Investors Group and individually
			Barbara Ann Stefanelli, as general partner of Stefanelli Investors Group and individually
			Estate Of Anthony E. Stefanelli
			Lisa M. Stefanelli, as general partner of Stefanelli Investors Group and individually
			Mark Stefanelli, as general partner of Stefanelli Investors Group and individually
			Mary Ann Stefanelli, as general partner of Stefanelli Investors Group and individually
			Minor 1
			Minor 2
			Minor 3, as general partner of Stefanelli Investors Group and individually
			Minor 4, as general partner of Stefanelli Investors Group and individually
			Minor 5, as general partner of Stefanelli Investors Group and individually
			Minor 6, as general partner of Stefanelli Investors Group and individually
			Patricia Moretta, as general partner of Stefanelli Investors Group and individually
			Patricia Moretta, solely in her capacity as personal representative of the Estate of Anthony E. Stefanelli
			Stefanelli Investors Group

**Exhibit A**  
**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
130.	10-04492	Stuart Leventhal 2001 Irrevocable Trust, et al.	Stuart Leventhal
			Stuart Leventhal 2001 Irrevocable Trust
131.	10-04525	Susan Schemen Fradin Revocable Agreement of Trust, et al.	Susan Schemen Fradin, individually, as beneficiary and as trustee of the Susan Schemen Fradin Revocable Agreement of Trust Dated 5/23/2000
			Susan Schemen Fradin Revocable Agreement of Trust Dated 5/23/2000
132.	10-04961	Sylvan Associates LLC f/k/a Sylvan Associates Ltd Partnership, et al.	Donald R. Shapiro, in his capacity as a General Partner of Sylvan Associates Limited Partnership
			Emily L. Shapiro
			Eric N. Shapiro
			Julie B. Shapiro
			Lindsay A. Shapiro
			Robin L. Shapiro, in her capacity as Trustee for The Eric Nathan Shapiro 1989 Trust, The Emily Lauren Shapiro 1989 Trust, The Julie Beth Shapiro 1989 Trust and The Lindsay Ariel Shapiro 1989 Trust
			Sylvan Associates LLC f/k/a Sylvan Associates Limited Partnership
			The Emily Lauren Shapiro 1989 Trust, in its capacity as a Limited Partner of Sylvan Associates Limited Partnership
			The Eric Nathan Shapiro 1989 Trust, in its capacity as a Limited Partner of Sylvan Associates Limited Partnership
			The Julie Beth Shapiro 1989 Trust, in its capacity as a Limited Partner of Sylvan Associates Limited Partnership
			The Lindsay Ariel Shapiro 1989 Trust, in its capacity as a Limited Partner of Sylvan Associates Limited Partnership

**Exhibit A**  
**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
133.	10-04415	The Estate of Barbara Berdon, et al.	Allison Berwitz, in her capacity as executor of the Estate of Barbara Berdon
			Sharon Kristol, in her capacities as executors of the Estate of Barbara Berdon
			The Estate of Barbara Berdon
134.	10-05094	The Estate of Carolyn Miller, et al.	Estate of Carolyn Miller
			Joanne Gayle Rosen, in her capacities as successor Personal Representative of The Estate of Carolyn Miller and trustee of The Carolyn Rosen Miller Revocable Trust
			Laurie Riemer, individually and in her capacities as Personl Representative of The Estate of Carolyn Miller and trustee of the Carolyn Rosen Miller Revocable Trust
			The Carolyn Rosen Miller Revocable Trust
135.	10-05424	The Frederica Ripley French Revocable Trust, et al.	Alexander McFerran, solely in his capacity as successor trustee of the Frederica Ripley French Revocable Trust
			Seth B. French
			The Frederica Ripley French Revocable Trust
136.	10-04539	The Gerald and Barbara Keller Family Trust, et al.	Barbara Keller, individually and in her capacity as Trustee of the Gerald and Barbara Keller Family Trust
			Gerald E. Keller, individually and in his capacity as Trustee of the Gerald and Barbara Keller Family Trust
			The Gerald and Barbara Keller Family Trust U/A June 2, 1998

**Exhibit A**  
**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
137.	10-05104	The Gloria Albert Sandler and Maurice Sandler Revocable Living Trust	Gloria Albert Sandler, individually as grantor and beneficiary of and in her capacity as Trustee of The Gloria Albert Sandler and Maurice Sandler Revocable Trust
			Maurice Sandler, individually as grantor and beneficiary of and in his capacity as Trustee of The Gloria Albert Sandler and Maurice Sandler Revocable Trust
			The Gloria Albert Sandler And Maurice Sandler Revocable Living Trust
138.	10-05157	The Harnick Brothers Partnership, et al.	Barbara June Lang, individually and in her capacities as the personal representative of the Estate of Steven Harnick and trustee of the Steven Harnick Revocable Living Trust Dated April 2, 2002
			Estate of Steven Harnick
			Martin Harnick, individually and in his capacities as general partner of The Harnick Brothers Partnership and Martin R. Harnick & Steven P. Norton Partners
			Martin R. Harnick & Steven P. Norton Partners
			Michelle Glater
			Pamela Harnick
			Steven Harnick Revocable Living Trust Dated April 2, 2002
			The Harnick Brothers Partnership
139.	10-04718	The Jordan H. Kart Revocable Trust, et al.	Carolyn J. Kart, individually and in her capacity as executor of the Estate of Jordan H. Kart
			Jonathan J. Kart, individually and in his capacities as executor of the Estate of Jordan H. Kart and successor trustee of the Jordan H. Kart Revocable Trust
			The Estate of Jordan H. Kart
			The Jordan H. Kart Revocable Trust

**Exhibit A**  
**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
140.	10-05124	The Lawrence J. Ryan and Theresa R. Ryan Revocable Living Trust, et al.	Lawrence J. Ryan and Theresa R. Ryan Revocable Living Trust
			Lawrence J. Ryan By-Pass Trust Under Declaration of Trust Dated November 20, 1991
			Theresa R. Ryan, individually and in her capacities as trustee of Lawrence J. Ryan and Theresa R. Ryan Revocable Living Trust and the Lawrence J. Ryan By-Pass Trust Under Declaration of Trust Dated November 20, 1991
141.	10-04417	The Lustig Family 1990 Trust, et al.	David I. Lustig, individually and in his capacity as Trustee for The Lustig Family 1990 Trust
			The Lustig Family 1990 Trust
142.	10-04486	The Norma Shapiro Revocable Declaration of Trust Under Agreement Date	Martin Rosen, in his capacity as Trustee of the Trust Under Will of Philip L. Shapiro
			Norma Shapiro Declaration of Trust Under Agreement dated 9/16/2008, Revocable Trust
			Norma Shapiro, individually, and in her capacity as Trustee for the Norma Shapiro Revocable Declaration of Trust Under Agreement Dated 9/16/2008 and the Trust Under Will of Philip L. Shapiro
			Trust Under Will of Phillip Shapiro
143.	10-05083	The S. James Coppersmith Charitable Remainder Unitrust, et al.	Janice G. Coppersmith, individually
			S. James Coppersmith, individually
			Seymour W. Zises in his capacity as trustee
			The S. James Coppersmith Charitable Remainder Unitrust

**Exhibit A**  
**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
144.	10-04610	The Whitman Partnership, et al.	Bernard Whitman Revocable Living Trust U/A/D 8/5/86
			Bernard Whitman, in his capacity as Trustee for the Bernard Whitman Revocable Living Trust u/a/d 8/5/86, a partner in The Whitman Partnership
			Judith Whitman Revocable Living Trust U/A/D 8/5/86
			Judith Whitman, in her capacity as Trustee for The Judith Whitman Revocable Living Trust u/a/d 8/5/86, a partner in the Whitman Partnership
			The Whitman Partnership
145.	10-04818	Toby Harwood	Toby Harwood
146.	10-05236	Toby T. Hobish, et al.	LI Ram L.P.
			Toby T. Hobish as an individual
147.	10-04905	Train Klan, a Partnership, et al.	Claudia Helmig, in her capacity as a Partner in Train Klan
			Felice T. Londa, in her capacity as a Partner in Train Klan
			Jessica Londa, in her capacity as a Partner in Train Klan
			Peter Londa, in his capacity as a Partner in Train Klan
			Timothy Helmig, in his capacity as a Partner in Train Klan
			Timothy Landres, in his capacity as a Partner in Train Klan
			Train Klan, a Partnership
			Wendy Landres, in her capacity as a Partner in Train Klan

**Exhibit A**  
**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
148.	10-04463 <sup>11</sup>	Triangle Diversified Investments, et al.	Triangle Diversified Investments
			Zin Investments Limited
149.	10-04446	Trust Dated 12/6/99 Walter and Eugenie Kissinger, et al.	Dana M. Kissinger-Matray
			Eugenie Kissinger
			John Frans Kissinger
			Thomas Van Drooge Kissinger
			Trust Under Agreement dated 12/6/99 for the Benefit of Walter and Eugenie Kissinger
			Walter B. Kissinger
			Walter B. Kissinger Revocable Trust
			William D. Kissinger
150.	10-04885	Trust Fund B U/W Edward F. Seligman F/B/O Nancy Atlas, et al.	Nancy Atlas, in her capacity as Trustee and Beneficiary of the Trust Fund B U/W Edward F. Seligman F/B/O Nancy Atlas
			Trust Fund B U/W Edward F. Seligman F/B/O/ Nancy Atlas
151.	10-04995	Trust U/Art Fourt O/W/O Israel Wilenitz, et al.	Evelyn Berezin Wilenitz, individually, and as Trustee and Beneficiary of the Trust U/ART Fourth O/W/O Israel Wilenitz
			Sara Seims, as Trustee of the Trust U/ART Fourth O/W/O Israel Wilenitz
			Trust U/A Fourth U/W/O Israel Wilenitz

<sup>11</sup> At least one Defendant in this adversary proceeding is Pro Se.



**Exhibit A**  
**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
152.	10-05026	Walter Freshman Trust A, a Florida trust, et al.	Frieda Freshman Revocable Trust Dated 12/31/92
			Frieda Freshman, as trustor, as trustee
			Walter Freshman Revocable Trust
			Walter Freshman Trust A
153.	10-05176 <sup>12</sup>	White Orchard Investments, et al.	Anthony Pugliese
			Christina Pugliese
			Jean Caroline
			Mona Pugliese
			Phoenix Lake, Ltd.
			Pierre Caroline
			White Orchard Investments, Ltd.
154.	10-05196	Whitman 1990 Trust U/A DTD 4/13/90, et al.	Irene Whitman 1990 Trust U/A DTD 4/13/90
			James M. New, in his capacity as Trustee of the Irene Whitman 1990 Trust
			Laura New, in her capacity as executrix of the Estate of Irene Whitman

<sup>12</sup> At least one Defendant in this adversary proceeding is Pro Se.

**Exhibit A**  
**Remaining Good Faith Actions**

	Adv. Pro. No.	Case Nickname	Defendants
155.	10-04669	Zieses Investment Partnership, et al.	Allan Inger
			Barry Inger
			Caryn Zieses
			Debra S. Zieses
			Marshall Zieses
			Neil R. Zieses
			Susan B. Alswager
			Zieses Investment Partnership

**Exhibit 1**

**Exhibit 1**

**List of Objecting Defendants**

	<b>Adv. Pro. No.</b>	<b>Case Nickname</b>	<b>Defendants</b>	<b>Objection ECF No.</b>
1.	10-04428	Allen Meisels	Allen Meisels	17473-17474
2.	10-04925	Alvin Gindel Revocable Trust, a Florida trust, et al.	Alvin Gindel Revocable Trust Agreement	17469
			Alvin Gindel, in his capacity as trustee of the Alvin Gindel Revocable Trust and individually as settlor and beneficiary thereof	
3.	10-04709	Andrew M. Goodman	Andrew Goodman	17473-17474
4.	10-05127	Atwood Management Profit Sharing Plan & Trust, etc., et al.	Atwood Management Profit Sharing Plan & Trust f/k/a Atwood Regency Money Purchase Plan, in its own right and as successor-in-interest to the Atwood-Regency Defined Benefit Plan & Trust	17473-17474
			Dino Guiducci, individually, in his capacity as Co-Trustee of the Atwood Regency Profit Sharing Plan & Trust f/k/a the Atwood Regency Money Purchase Plan & Trust, and former Co-Trustee of the Atwood Regency Defined Benefit Plan & Trust, and as the personal representative of the estate of Mary Guiducci	
5.	10-05439	Avram J. Goldberg, individually and in his capacity as trust officer	AvCar Group, Ltd.	17469
			Avram Goldberg, individually and in his capacity as trust officer for The Sidney R. Rabb Trust FBO Carol Goldberg	
			Carol R. Goldberg, individually and in her capacity as trust officer for The Sidney R. Rabb Trust FBO Carol Goldberg	
			Sidney R. Rabb Trust FBO Carol R. Goldberg	
6.	10-05130	Barbara Kotlikoff Harman	Barbara Kotlikoff Harman	17473-17474
7.	10-05037	Barbara L. Savin	Barbara L. Savin	17473-17474
8.	10-04332	Barry Weisfeld	Barry Weisfeld	17469
9.	10-04367	Benjamin T. Heller	Benjamin T. Heller	17473-17474

**Exhibit 1**

**List of Objecting Defendants**

	<b>Adv. Pro. No.</b>	<b>Case Nickname</b>	<b>Defendants</b>	<b>Objection ECF No.</b>
10.	10-05168	Bernard Marden Profit Sharing Plan, et al.	Bernard Marden Profit Sharing Plan	17469
11.	10-05133	Boyer H. Palmer, individually, et al.	Bruce N. Palmer	17473-17474
			Bruce Palmer, in his capacity as Personal Representative of the Estate of Boyer Palmer	
			Diane Holmers, in her capacity as Personal Representative of the Estate of Boyer Palmer	
			Estate of Boyer Palmer, in its capacity as the estate of Boyer Palmer individually and as the former general partner of B&F Palmer, L.P.	
			Fern C. Palmer	
			Kurt B. Palmer	
12.	10-04826	Boyer Palmer	Bruce Palmer, in his capacity as Personal Representative of the Estate of Boyer Palmer	17473-17474
			Diane Holmers, in her capacity as Personal Representative of the Estate of Boyer Palmer	
			Estate of Boyer Palmer	
13.	10-05194	Bruce D. Pergament, et al.	Linda Horowitz Spousal Trust FBO Richard Horowitz, f/k/a Murray Pergament 1999 Trust fbo Linda Horowitz	17469
			Murray Pergament 1999 Trust FBO Bruce Pergament	
14.	10-04753	Carla Ginsburg	Carla Ginsburg	17473-17474

**Exhibit 1**  
**List of Objecting Defendants**

	Adv. Pro. No.	Case Nickname	Defendants	Objection ECF No.
15.	10-04469	Carol L. Kamenstein, individually and in her capacity as joint tenant	Carol L. Kamenstein, individually and in her capacity as joint tenant	17473-17474
			David R. Kamenstein, individually and in his capacity as joint tenant	
			Sloan G. Kamenstein	
			Tracy D. Kamenstein	
16.	10-04658	Carol Nelson	Carol Nelson	17473-17474
17.	10-04377	Carol Nelson, individually and as joint tenant, et al.	Carol Nelson, individually and as joint tenant	17473-17474
			Stanley Nelson, individually and as joint tenant	
18.	10-04956	D. M. Castelli	Denis M. Castelli	17473-17474
19.	10-04621	Donald A. Benjamin	Donald A. Benjamin	17473-17474
20.	10-05312	Doron Tavlin Trust U/A 2/4/91, et al.	Doron Tavlin Trust U/A 2/4/91	17473-17474
			Doron Tavlin, as Trustee and Beneficiary of the Doron Tavlin Trust U/A 2/4/91	
21.	10-05257	Edward A. Zraick, Jr., individually and as joint tenant, et al.	Edward A. Zraick, Jr., individually, as joint tenant and as personal representative of the Estate of Lorraine Zraick	17472
			Karen M. Rich, individually and as joint tenant	
			Nancy Zraick, individually and as joint tenant	
			Patricia Zraick DeLuca, individually, as joint tenant and as personal representative of the Estate of Lorraine Zraick	

**Exhibit 1**

**List of Objecting Defendants**

	<b>Adv. Pro. No.</b>	<b>Case Nickname</b>	<b>Defendants</b>	<b>Objection ECF No.</b>
22.	10-04914	Edyne Gordon	Edyne Gordon, in her capacity as the executrix and primary beneficiary of the estate of Allen Gordon	17473-17474
23.	10-04809	Edyne Gordon NTC	Edyne Gordon, in her capacity as the executrix and primary beneficiary of the estate of Allen Gordon	17473-17474
24.	10-04491	Elaine Dine Living Trust dated 5/12/06, et al.	Elaine Dine	17473-17474
			Elaine Dine Living Trust Dated 5/12/06	
25.	10-04728	Estate of Bruno L. Di Giulian, et al.	Estate of Bruno L. Di Giulian	17473-17474
			Patsy Di Giulian in her capacity as personal representative of the Estate of Bruno L. Di Giulian	
26.	10-05079	Estate of James M. Goodman, et al.	Audrey M Goodman, individually, and in her capacity as Personal Representative of the Estate of James M. Goodman	17473-17474
			The Estate of James M. Goodman	
27.	10-04889	Estate of Robert Shervyn Savin, et al.	Barbara L. Savin, in her capacity as Personal Representative of the Estate of Robert Shervyn Savin	17473-17474
			The Estate of Robert Shervyn Savin	

**Exhibit 1**

**List of Objecting Defendants**

	<b>Adv. Pro. No.</b>	<b>Case Nickname</b>	<b>Defendants</b>	<b>Objection ECF No.</b>
28.	10-04438	Estate of Seymour Epstein, et al.	Estate of Seymour Epstein	17473-17474
			Herbert C. Kantor, as trustee of Trusts created by the Last Will and Testament of Seymour Epstein	
			Jane Epstein, as beneficiary of the Estate of Seymour Epstein and/or the Trusts created by the Last Will and Testament of Seymour Epstein	
			Muriel Epstein, as beneficiary of the Estate of Seymour Epstein and/or Trusts created by Last Will and Testament of Seymour Epstein, as executor of the Estate of Seymour Epstein, and as trustee of the Trusts created by Last Will and Testament of Seymour Epstein	
			Randy Epstein Austin, as beneficiary of the Estate of Seymour Epstein and/or the Trusts created by the last Will and Testament of Seymour Epstein	
			Robert Epstein, as beneficiary of the Estate of Seymour Epstein and/or Trusts created by the Last Will and Testament of Seymour Epstein	
			Shelburne Shirt Company, Inc.	
			Susan Epstein Gross, as beneficiary of the Estate of Seymour Epstein and/or the Trusts created by the Last Will and Testament of Seymour Epstein	
29.	10-04867	Estate of Steven I. Harnick, et al.	Barbara June Lang, as personal representative, as trustee, as joint tenant, and as an individual	17473-17474
			Estate of Steven I. Harnick, et al.	



**Exhibit 1**

**List of Objecting Defendants**

	Adv. Pro. No.	Case Nickname	Defendants	Objection ECF No.
30.	10-04397	Fern C. Palmer Revocable Trust Dtd 12/31/9, et al.	Bruce Palmer, in his capacity as Personal Representative of the Estate of Boyer Palmer	17473-17474
			Diane Holmers, in her capacity as Personal Representative of the Estate of Boyer Palmer	
			Estate of Boyer Palmer	
			Fern C. Palmer Revocable Trust DTD 12/31/91, as amended	
			Fern C. Palmer, individually and in her capacity as trustee for the Fern C. Palmer Revocable Trust	
31.	10-04823	Frank DiFazio, et al.	Carol DiFazio individually and as Tenants in Common of a Bernard L. Madoff Investment Securities, LLC account	17473-17474
			Frank DiFazio individually and as Tenants in Common of a Bernard L. Madoff Investment Securities, LLC account	
32.	10-04327	Gertrude E. Alpern Revocable Trust, et al.	Gertrude E. Alpern Revocable Trust	17473-17474
			Jonathan Schwartz, as beneficiary of the Gertrude E. Alpern Revocable Trust	
			Paul Alpern Residuary Trust	
			Roberta Schwartz Trust	
			Roberta Schwartz, as beneficiary of the Gertrude E. Alpern Revocable Trust, as settlor and beneficiary of the Roberta Schwartz Trust, and in her capacity as trustee of the Roberta SchwartzTrust	
33.	10-04920	Glenhaven Limited, et al.	Edythe Gladstein, in her capacity as the personal representative of the Estate of Matthew L. Gladstein	17473-17474
			Estate of Matthew L. Gladstein	
			Glenhaven Limited	

**Exhibit 1**

**List of Objecting Defendants**

	<b>Adv. Pro. No.</b>	<b>Case Nickname</b>	<b>Defendants</b>	<b>Objection ECF No.</b>
34.	10-04991	Guiducci Family Limited Partnership, et al.	Dino Guiducci, individually, in his capacity as a General Partner of the Guiducci Family Limited Partnership, and as the personal representative of the estate of Mary Guiducci	17473-17474
			Guiducci Family Limited Partnership	
			Sandra Guiducci, individually and in her capacity as a General Partner of the Guiducci Family Limited Partnership	
35.	10-05420	Gunther K. Unflat, et al.	Gunther K. Unflat, individually and as joint tenant	17473-17474
			Margaret Unflat, individually and as joint tenant	
36.	10-04861	Harold J. Hein	Harold J. Hein Revocable Trust	17469
37.	10-04912	Harry Smith Revocable Living Trust, et al.	Harry Smith Revocable Living Trust	17473-17474
			Laura Ann Smith, as Trustee of the Harry Smith Revocable Living Trust and individually	
38.	10-04898	Helene Saren-Lawrence	Helene Saren-Lawrence	17473-17474
39.	10-04321	Herbert Barbanel, et al.	Estate of Alice Barbanel	17473-17474
			Estate of Herbert Barbanel	
			Lewis Barbanel, as Personal Representative of the Estate of Alice Barbanel and the Estate of Herbert Barbanel	

**Exhibit 1**  
**List of Objecting Defendants**

	Adv. Pro. No.	Case Nickname	Defendants	Objection ECF No.
40.	10-05128	JABA Associates LP, et al.	Andrew Goodman, in his capacity as a general partner of JABA Associates LP	17473-17474
			Audrey Goodman, in her capacity as a general and limited partner of JABA Associates LP and as Personal Representative of the Estate of James Goodman	
			Bruce Goodman, in his capacity as a general Partner of JABA Associates LP	
			Estate of James Goodman	
			Goodman Charitable Foundation, in its capacity as a limited partner of JABA Associates LP	
			JABA Associates LP	
41.	10-04570 <sup>1</sup>	Jacob M. Dick Rev Living Trust DTD 4/6/01, et al.	Andrea J. Marks, as trustee and beneficiary of the Jacob M. Dick Rev Living Trust Dtd 4/6/01, as executor and beneficiary of the Estate of Jacob M. Dick, and as trustee of the Article 8.1 Trust created under the Jacob M. Dick Rev Living Trust Dtd 4/6/01	17473-17474
			Article 8.1 Trust	
			Estate of Jacob M. Dick, as grantor of the Jacob M. Dick Rev Living Trust Dtd 4/6/01	
			Jacob M. Dick Rev Living Trust dtd 4/6/01	
			R.D.A., a minor, as beneficiary of the Article 8.1 Trust created under the Jacob M. Dick Rev Living Trust Dtd 4/6/01	
			Rio Jocelyn Breen, as beneficiary of the Article 8.1 Trust created under the Jacob M. Dick Rev Living Trust Dtd 4/6/01	
			Suzanne Breen, as beneficiary of the Estate of Jacob M. Dick and the Jacob M. Dick Rev Living Trust Dtd 4/6/01	

<sup>1</sup> Defendant Douglas J. Sturlingh, as beneficiary of the Estate of Jacob M. Dick and the Jacob M. Dick Rev Living Trust Dtd 4/6/01, is *pro se*, and did not file an objection to the Trustee's Motion.

**Exhibit 1**  
**List of Objecting Defendants**

	<b>Adv. Pro. No.</b>	<b>Case Nickname</b>	<b>Defendants</b>	<b>Objection ECF No.</b>
42.	10-04655	Jaffe Family Investment Partnership, et al.	Bruce Jaffe	17473-17474
			Jaffe Family Investment Partnership	
43.	10-04357	James Greiff	James Greiff	17469
44.	10-04762	James M. Goodman	Audrey Goodman, in her capacity as Personal Representative of the Estate of James M. Goodman	17473-17474
			Estate of James M Goodman	
45.	10-04979	James M. New Trust dtd 3/19/01, et al.	James M. New Trust Dated 3/19/01	17473-17474
			James M. New, individually and in his capacity as Trustee for the James M. New Trust dtd 3/19/01	
			Laura W. New, in her capacity as Trustee for the James M. New Trust dtd 3/19/01	
46.	10-04798	Janet Jaffe, et al.	Alvin Jaffe Trust dtd 4/20/90	17473-17474
			Janet Jaffe Trust UA Dated 4/20/90	
			Janet Jaffe, individually and in her capacity as Trustee for the Janet Jaffe Trust UA dtd 4/20/90 and the Alvin Jaffe Trust dtd 4/20/90	

**Exhibit 1**

**List of Objecting Defendants**

	Adv. Pro. No.	Case Nickname	Defendants	Objection ECF No.
47.	10-04545	Jerome Goodman, Individually, as trustee for The Jerome Goodman Child	Abbey Goodman, as Beneficiary of The Jerome Goodman Children's GRAT #1 and as Limited Partner of Goodman Capital Partners L.P.	17473-17474
			Goodman Capital Partners, L.P.	
			Goodman Holdings, Inc., as General Partner of Goodman Capital Partners L.P.	
			Jerome Goodman, Individually, as trustee for The Jerome Goodman Children's GRAT #1, as Limited Partner of Goodman Capital Partners L.P., and as Beneficiary of The Jerome Goodman Children's GRAT #1	
			Kevin Goodman, as Beneficiary of The Jerome Goodman Children's GRAT #1 and as Limited Partner of Goodman Capital Partners L.P.	
			Peter Goodman, as Beneficiary of The Jerome Goodman Children's GRAT #1 and as Limited Partner of Goodman Capital Partners L.P.	
			Philip Goodman, as Limited Partner of Goodman Capital Partners L.P.	
			The Jerome Goodman Children's GRAT #1	
48.	10-04302	Joan Roman	Joan Roman	17473-17474
49.	10-04503	Judd Robbins	Judd Robbins	17473-17474
50.	10-05435	Keith Schaffer, et al.	Donna Schaffer	17473-17474
			Jeffrey Schaffer	
			Keith Schaffer	
51.	10-04806	Kenneth M. Kohl, as an individual and as a joint tenant, et al.	Kenneth M. Kohl, as an individual and as a joint tenant	17473-17474
			Myrna M. Kohl, as an individual and as a joint tenant	

**Exhibit 1**

**List of Objecting Defendants**

	Adv. Pro. No.	Case Nickname	Defendants	Objection ECF No.
52.	10-04541 <sup>2</sup>	Kenneth W Perlman, et al.	Felice J. Perlman	17473-17474
			Sanford S. Perlman	
53.	10-04752	Kuntzman Family LLC, et al.	Irrevocable Trust FBO Ethan Siegel, in its capacity as a member of the Kuntzman Family L.L.C.	17473-17474
			Irrevocable Trust FBO Jennifer Gattegno, in its capacity as a member of the Kuntzman Family L.L.C.	
			Jacqueline D. Green, in her capacity as a managing member of the Kuntzman Family L.L.C. and as Trustee of the irrevocable Trust FBO Jennifer Gattegno and the Irrevocable Trust FBO Ethan Siegel, both members of the Kuntzman Family L.L.C.	
			Judith Gattegno, in her capacity as a member of the Kuntzman Family L.L.C.	
			Kuntzman Family LLC	
			Wayne D. Green, in his capacity as a managing member of the Kuntzman Family L.L.C	
54.	10-05371 <sup>3</sup>	L.H. Rich Companies, et al.	L. H. Rich Companies	17467
			M. R. Investment Associates	
			N. R. Investment Associates	
55.	10-04384	Lanx BM Investments, LLC, et al.	Edara Partnership	17469
			Lanx BM Investments, LLC	
			Sarah Trust	

<sup>2</sup> Defendant Kenneth Perlman is represented by Blank Rome, while Chaitman LLP represents Felice J. Perlman and Sanford S. Perlman. Kenneth Perlman did not object to the Trustee's Motion.

<sup>3</sup> On May 25, 2018, the defendants in *Picard v. L.H. Rich Cos., et al.*, withdrew their Objection and related declaration (ECF Nos. 17466 and 17467). Adv. Pro. No. 10-05371 (SMB) (Bankr. S.D.N.Y. May 25, 2018), ECF No. 36. The Trustee subsequently filed a Notice of Voluntary Dismissal, resulting in the closure of that adversary proceeding. Adv. Pro. No. 10-05371 (SMB) (Bankr. May 29, 2018), ECF No. 37.

**Exhibit 1**

**List of Objecting Defendants**

	Adv. Pro. No.	Case Nickname	Defendants	Objection ECF No.
			The Lanx Fund II, LP	
			Wolfson Cousins, LP	
56.	10-05209	Lapin Children LLC	Lapin Children LLC	17469
57.	10-05184	Laura Ann Smith Revocable Living Trust, et al.	Laura Ann Smith in her capacity as Settlor and Trustee for the Laura Ann Smith Revocable Living Trust	17473-17474
			Laura Ann Smith Revocable Living Trust	
58.	10-04882	Laura E. Guggenheimer Cole	Laura E. Guggenheimer Cole	17469
59.	10-05286	Legacy Capital Ltd., et al.	Legacy Capital Ltd.	17471
60.	10-05116	Leonard J. Oguss Trust, et al.	Gerald M. Oguss, as Trustee and Individually	17473-17474
			Jane L. Oguss, as Trustee and Individually	
			Leonard J. Oguss Trust	
			Ronald A. Oguss, as Trustee and Individually	
61.	10-04837	Leslie Ehrlich f/k/a Leslie Harwood, et al.	Leslie Ehrlich f/k/a Leslie Harwood, individually and in her capacity as joint tenant with rights to survivorship	17473-17474
			Stephen Ehrlich, individually and in his capacity as joint tenant with rights to survivorship	
62.	10-04878	Lisa Beth Nissenbaum Trust, et al.	Lisa Beth Nissenbaum	17473-17474
			Lisa Beth Nissenbaum Trust	
			Neal Kurn, as Trustee for the Lisa Nissenbaum Trust	
63.	10-04348	Marden Family Limited Partnership, et al.	Marden Family Limited Partnership, a Delaware limited partnership	17469

**Exhibit 1**

**List of Objecting Defendants**

	<b>Adv. Pro. No.</b>	<b>Case Nickname</b>	<b>Defendants</b>	<b>Objection ECF No.</b>
64.	10-04341	Marden, et al.	Iris Zurawin Marden	17469
			James P. Marden	
			Patrice M. Auld	
65.	10-04748	Mark Horowitz	Mark Horowitz	17473-17474
66.	10-04489	Marlene Krauss	Marlene Krauss	17473-17474
67.	10-04390	Michael Mann, et al.	BAM L.P.	17469
			Meryl Mann	
			Michael Mann	
68.	10-05384	Neil Reger Profit Sharing Keogh, et al.	Neil Reger Profit Sharing Keogh	17469
			Neil Reger, individually and in his capacity as sole trustee of the Neil Reger Profit Sharing Keogh	
69.	10-05151	Palmer Family Trust, et al.	Blake Palmer	17473-17474
			Bret Palmer	
			Bruce Palmer, in his capacity as Personal Representative of the Estate of Boyer Palmer	
			Diane Holmers, in her capacity as Personal Representative of the Estate of Boyer Palmer	
			Estate of Boyer Palmer	
			Great Western Bank - Trust Department, in its capacity as Trustee of the Palmer Family Trust	
			Palmer Family Trust	
70.	10-04343	Patrice Auld, et al.	James P. Marden	17469



**Exhibit 1**

**List of Objecting Defendants**

	Adv. Pro. No.	Case Nickname	Defendants	Objection ECF No.
			Merritt Kevin Auld	
			Patrice M. Auld	
71.	10-04648	Peter D. Kamenstein	Peter D. Kamenstein	17473-17474
72.	10-04749	Philip F. Palmedo	Philip F. Palmedo	17473-17474
73.	10-04768	Placon2, William R. Cohen, et al.	Betty Cohen, in her capacity as a Partner of Placon2 and in her capacity as Executor of the Estate of William R. Cohen	17473-17474
			Estate of Nathan Plafsky	
			Estate of William R. Cohen	
			Placon2	
			Robert Plafsky, in his capacity as the personal representative of the Estate of Bernice Plafsky and as Personal Representative of the Estate of Nathan Plafsky	
			Ronald Cohen, in his capacity as a Partner of Placon2	
			The Estate of Bernice Plafsky	
74.	10-05150	Plafsky Family LLC Retirement Plan, Robert Plafsky, et al.	Estate of Edna Kaminski	17473-17474
			Estate of Nathan Plafsky	
			Kathryn L. Hvasta, in her capacity as the personal representative of the Estate of Edna Kaminski	
			Plafsky Family LLC Retirement Plan	
			Robert Plafsky, in his capacity as the personal representative of the Estate of Nathan Plafsky	

**Exhibit 1**  
**List of Objecting Defendants**

	Adv. Pro. No.	Case Nickname	Defendants	Objection ECF No.
			Robert Plafsky, in his capacity as Trustee for the Plafsky Family LLC Retirement Plan	
75.	10-04352 <sup>4</sup>	RAR Entrepreneurial Fund LTD, et al.	RAR Entrepreneurial Fund, LTD.	17473-17474
76.	10-05377	Richard G. Eaton	Richard G. Eaton	17473-17474
77.	10-04562	Robert F. Ferber	Robert F. Ferber	17473-17474
78.	10-04740	Robert Hirsch, as an individual, and as joint tenant, et al.	Lee Hirsch, as joint tenant, and as an individual	17473-17474
			Robert Hirsch, as joint tenant, and as an individual	
79.	10-04292	Robert Roman	Robert Roman	17473-17474
80.	10-04614	Robert S. Whitman	Robert S. Whitman	17473-17474
81.	10-04487	Robert Weintraub, et al.	Estate of Audrey Weintraub	17473-17474
			Robert Weintraub, individually and in his capacity as personal representative of the Estate of Audrey Weintraub	
82.	10-04401	Rose Gindel Trust, et al.	Alvin Gindel, in his capacity as Trustee of the Rose Gindel Trust	17469
			Michael Gindel, Individually and in his capacity as trustee of the Rose Gindel Trust	
			Rose Gindel Trust	
			Rose Gindel, Individually and in her capacity as trustee of the Rose Gindel Trust	
83.	10-04644	Russell L. Dusek	Russell L. Dusek	17473-17474
84.	10-04702	S&L Partnership, a New York partnership, et al.	Carla Goldworm	17469
			Luke Goldworm as trustee and as an individual	

<sup>4</sup> Defendant Tamiami Tower Corporation is *pro se* and did not file an objection to the Trustee's Motion.

**Exhibit 1**

**List of Objecting Defendants**

	Adv. Pro. No.	Case Nickname	Defendants	Objection ECF No.
			S&L Partnership, a New York partnership	
			Samuel Goldworm as trustee and as an individual	
85.	10-04362	Sage Associates, et al.	Ann M. Sage Passer, in her Capacity as Partner or Joint Venturer of Sage Associates and individually as Beneficiary of Sage Associates	17470
			Malcolm H. Sage, in his Capacity as Partner or Joint Venturer of Sage Associates and individually as Beneficiary of Sage Associates, and as the Personal Representative of the Estate of Lillian M. Sage	
			Martin A. Sage, in his Capacity as Partner or Joint Venturer of Sage Associates and individually as Beneficiary of Sage Associates	
			Sage Associates	
86.	10-04400	Sage Realty, et al.	Ann M. Sage Passer, in her capacity as partner or joint venturer of Sage Realty and individually as beneficiary of Sage Realty	17470
			Malcolm H. Sage, in his capacity as partner or joint venturer of Sage Realty and individually as Beneficiary of Sage Realty, and as the Personal Representative of the Estate of Lillian M. Sage	
			Martin A. Sage, in his capacity as partner or joint venturer of Sage Realty and individually as beneficiary of Sage Realty	
			Sage Realty	
87.	10-04672	Sidney Cole	Sidney Cole	17469
88.	10-04921	Stanley T. Miller	Stanley T. Miller	17469

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**List of Objecting Defendants**

	Adv. Pro. No.	Case Nickname	Defendants	Objection ECF No.
89.	10-04961	Sylvan Associates LLC f/k/a Sylvan Associates Ltd Partnership, et al.	Donald R. Shapiro, in his capacity as a General Partner of Sylvan Associates Limited Partnership	17473-17474
			Emily L. Shapiro	
			Eric N. Shapiro	
			Julie B. Shapiro	
			Lindsay A. Shapiro	
			Robin L. Shapiro, in her capacity as Trustee for The Eric Nathan Shapiro 1989 Trust, The Emily Lauren Shapiro 1989 Trust, The Julie Beth Shapiro 1989 Trust and The Lindsay Ariel Shapiro 1989 Trust	
			Sylvan Associates LLC f/k/a Sylvan Associates Limited Partnership	
			The Emily Lauren Shapiro 1989 Trust, in its capacity as a Limited Partner of Sylvan Associates Limited Partnership	
			The Eric Nathan Shapiro 1989 Trust, in its capacity as a Limited Partner of Sylvan Associates Limited Partnership	
			The Julie Beth Shapiro 1989 Trust, in its capacity as a Limited Partner of Sylvan Associates Limited Partnership	
			The Lindsay Ariel Shapiro 1989 Trust, in its capacity as a Limited Partner of Sylvan Associates Limited Partnership	
90.	10-04415	The Estate of Barbara Berdon, et al.	The Estate of Barbara Berdon	17469
			Allison Berwitz, in her capacity as executor of the Estate of Barbara Berdon	
			Sharon Kristol, in her capacities as executors of the Estate of Barbara Berdon	

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**List of Objecting Defendants**

	Adv. Pro. No.	Case Nickname	Defendants	Objection ECF No.
91.	10-05424	The Frederica Ripley French Revocable Trust, et al.	Alexander McFerran, solely in his capacity as successor trustee of the Frederica Ripley French Revocable Trust	17469
			The Frederica Ripley French Revocable Trust	
92.	10-04539	The Gerald and Barbara Keller Family Trust, et al.	Barbara Keller, individually and in her capacity as Trustee of the Gerald and Barbara Keller Family Trust	17473-17474
			Gerald E. Keller, individually and in his capacity as Trustee of the Gerald and Barbara Keller Family Trust	
			The Gerald and Barbara Keller Family Trust U/A June 2, 1998	
93.	10-05104	The Gloria Albert Sandler and Maurice Sandler Revocable Living Trust	Gloria Albert Sandler, individually as grantor and beneficiary of and in her capacity as Trustee of The Gloria Albert Sandler and Maurice Sandler Revocable Trust	17473-17474
			Maurice Sandler, individually as grantor and beneficiary of and in his capacity as Trustee of The Gloria Albert Sandler and Maurice Sandler Revocable Trust	
			The Gloria Albert Sandler And Maurice Sandler Revocable Living Trust	

**Exhibit 1**  
**List of Objecting Defendants**

	Adv. Pro. No.	Case Nickname	Defendants	Objection ECF No.
94.	10-05157	The Harnick Brothers Partnership, et al.	Barbara June Lang, individually and in her capacities as the personal representative of the Estate of Steven Harnick and trustee of the Steven Harnick Revocable Living Trust Dated April 2, 2002	17473-17474
			Estate of Steven Harnick	
			Martin Harnick, individually and in his capacities as general partner of The Harnick Brothers Partnership and Martin R. Harnick & Steven P. Norton Partners	
			Martin R. Harnick & Steven P. Norton Partners	
			Michelle Glater	
			Pamela Harnick	
			Steven Harnick Revocable Living Trust Dated April 2, 2002	
			The Harnick Brothers Partnership	
95.	10-04718	The Jordan H. Kart Revocable Trust, et al.	Carolyn J. Kart, individually and in her capacity as executor of the Estate of Jordan H. Kart	17473-17474
			Jonathan J. Kart, individually and in his capacities as executor of the Estate of Jordan H. Kart and successor trustee of the Jordan H. Kart Revocable Trust	
			The Estate of Jordan H. Kart	
			The Jordan H. Kart Revocable Trust	
96.	10-05124	The Lawrence J. Ryan and Theresa R. Ryan Revocable Living Trust, et al.	Lawrence J. Ryan and Theresa R. Ryan Revocable Living Trust	17473-17474
			Lawrence J. Ryan By-Pass Trust Under Declaration of Trust Dated November 20, 1991	
			Theresa R. Ryan, individually and in her capacities as trustee of Lawrence J. Ryan and Theresa R. Ryan Revocable Living Trust and the Lawrence J. Ryan By-Pass Trust Under Declaration of Trust Dated November 20, 1991	

**Exhibit 1**

**List of Objecting Defendants**

	<b>Adv. Pro. No.</b>	<b>Case Nickname</b>	<b>Defendants</b>	<b>Objection ECF No.</b>
97.	10-04486	The Norma Shapiro Revocable Declaration of Trust Under Agreement Date	Martin Rosen, in his capacity as Trustee of the Trust Under Will of Philip L. Shapiro	17469
			Norma Shapiro Declaration of Trust Under Agreement dated 9/16/2008, Revocable Trust	
			Norma Shapiro, individually, and in her capacity as Trustee for the Norma Shapiro Revocable Declaration of Trust Under Agreement Dated 9/16/2008 and the Trust Under Will of Philip L. Shapiro	
			Trust Under Will of Phillip Shapiro	
98.	10-04610	The Whitman Partnership, et al.	Bernard Whitman Revocable Living Trust U/A/D 8/5/86	17473-17474
			Bernard Whitman, in his capacity as Trustee for the Bernard Whitman Revocable Living Trust u/a/d 8/5/86, a partner in The Whitman Partnership	
			Judith Whitman Revocable Living Trust U/A/D 8/5/86	
			Judith Whitman, in her capacity as Trustee for The Judith Whitman Revocable Living Trust u/a/d 8/5/86, a partner in the Whitman Partnership	
			The Whitman Partnership	
99.	10-04818	Toby Harwood	Toby Harwood	17473-17474
100.	10-05236	Toby T. Hobish, et al.	LI Ram L.P.	17469
			Toby T. Hobish as an individual	

**Exhibit 1**  
**List of Objecting Defendants**

	Adv. Pro. No.	Case Nickname	Defendants	Objection ECF No.
101.	10-04905	Train Klan, a Partnership, et al.	Claudia Helmig, in her capacity as a Partner in Train Klan	17473-17474
			Felice T. Londa, in her capacity as a Partner in Train Klan	
			Jessica Londa, in her capacity as a Partner in Train Klan	
			Peter Londa, in his capacity as a Partner in Train Klan	
			Timothy Helmig, in his capacity as a Partner in Train Klan	
			Timothy Landres, in his capacity as a Partner in Train Klan	
			Train Klan, a Partnership	
			Wendy Landres, in her capacity as a Partner in Train Klan	
102.	10-04446	Trust Dated 12/6/99 Walter and Eugenie Kissinger, et al.	Dana M. Kissinger-Matray	17473-17474
			Eugenie Kissinger	
			John Frans Kissinger	
			Thomas Van Drooge Kissinger	
			Trust Under Agreement dated 12/6/99 for the Benefit of Walter and Eugenie Kissinger	
			Walter B. Kissinger	
			Walter B. Kissinger Revocable Trust	
			William D. Kissinger	
103.	10-04995	Trust U/Art Fourt O/W/O Israel Wilenitz, et al.	Evelyn Berezin Wilenitz, individually, and as Trustee and Beneficiary of the Trust U/ART Fourth O/W/O Israel Wilenitz	17473-17474
			Sara Seims, as Trustee of the Trust U/ART Fourth O/W/O Israel Wilenitz	
			Trust U/A Fourth U/W/O Israel Wilenitz	



**Exhibit 1**

**List of Objecting Defendants**

	Adv. Pro. No.	Case Nickname	Defendants	Objection ECF No.
104.	10-05026	Walter Freshman Trust A, a Florida trust, et al.	Frieda Freshman Revocable Trust Dated 12/31/92	17473-17474
			Frieda Freshman, as trustor, as trustee	
			Walter Freshman Revocable Trust	
			Walter Freshman Trust A	
105.	10-05196	Whitman 1990 Trust U/A DTD 4/13/90, et al.	Irene Whitman 1990 Trust U/A DTD 4/13/90	17473-17474
			James M. New, in his capacity as Trustee of the Irene Whitman 1990 Trust	
			Laura New, in her capacity as executrix of the Estate of Irene Whitman	
106.	10-04669	Zieses Investment Partnership, et al.	Allan Inger	17473-17474
			Barry Inger	
			Caryn Zieses	
			Debra S. Zieses	
			Marshall Zieses	
			Neil R. Zieses	
			Susan B. Alswager	
			Zieses Investment Partnership	

**Exhibit 2**

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

SECURITIES INVESTOR PROTECTION  
CORPORATION,

Plaintiff-Applicant,

v.

BERNARD L. MADOFF INVESTMENT  
SECURITIES LLC,

Defendant.

Adv. Pro. No. 08-01789 (SMB)

SIPA LIQUIDATION

(Substantively Consolidated)

In re:

BERNARD L. MADOFF,

Debtor.

IRVING H. PICARD, Trustee for the Substantively  
Consolidated SIPA Liquidation of Bernard L.  
Madoff Investment Securities LLC and Bernard L.  
Madoff,

Plaintiff,

v.

DEFENDANTS IN ADVERSARY  
PROCEEDINGS LISTED ON EXHIBIT A,<sup>1</sup>

Defendants.

Adv. Pro. Nos. listed on Exhibit A

**NOTICE OF PARTICIPATION IN THE OMNIBUS PONZI PRE-TRIAL PROCEEDING**

This Notice is being served upon Irving H. Picard, trustee for the substantively consolidated liquidation of the business of Bernard L. Madoff Investment Securities LLC (“BLMIS”) and the chapter 7 estate of Bernard L. Madoff, in accordance with that certain Order Establishing Omnibus Pre-Trial Ponzi Proceeding On the Existence, Duration, and Scope of the Ponzi Scheme at BLMIS, No. 08-01789 (SMB), ECF No. [ ] (the “Order”).

<sup>1</sup> See Exhibit A, Schedule of Remaining Good Faith Actions, annexed to the Trustee’s Motion and Supporting Memorandum of Law for an Order Establishing Omnibus Proceeding for the Purpose of Determining the Existence, Duration, and Scope of the Ponzi Scheme at BLMIS (the “Motion”).

The following persons and/or entities are Defendants in the adversary proceedings listed below, and by and through their undersigned counsel, hereby give notice that they will participate in the Omnibus Ponzi Pre-Trial Proceeding, as defined in the Order:

<u>Adversary Proceeding No(s).</u>	<u>Defendant(s)</u>

Dated: \_\_\_\_\_

/s/ \_\_\_\_\_  
ATTORNEY NAME  
ATTORNEY ADDRESS

*Counsel for Defendants Listed Above*